

# Modal Logic: Incompleteness and Non-Normal Modal Logics

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# Neighborhood Frames

Let  $W$  be a non-empty set of states.

Any function  $N : W \rightarrow \wp(\wp(W))$  is called a **neighborhood function**

A pair  $\langle W, N \rangle$  is called a **neighborhood frame** if  $W$  a non-empty set and  $N$  is a neighborhood function.

A **neighborhood model** based on  $\mathfrak{F} = \langle W, N \rangle$  is a tuple  $\langle W, N, V \rangle$  where  $V : \text{At} \rightarrow \wp(W)$  is a valuation function.

# Truth in a Model

- ▶  $\mathfrak{M}, w \models p$  iff  $w \in V(p)$
- ▶  $\mathfrak{M}, w \models \neg\varphi$  iff  $\mathfrak{M}, w \not\models \varphi$
- ▶  $\mathfrak{M}, w \models \varphi \wedge \psi$  iff  $\mathfrak{M}, w \models \varphi$  and  $\mathfrak{M}, w \models \psi$

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- ▶  $\mathfrak{M}, w \models \Box\varphi$  iff  $\llbracket\varphi\rrbracket_{\mathfrak{M}} \in N(w)$
- ▶  $\mathfrak{M}, w \models \Diamond\varphi$  iff  $W - \llbracket\varphi\rrbracket_{\mathfrak{M}} \not\subseteq N(w)$

where  $\llbracket\varphi\rrbracket_{\mathfrak{M}} = \{w \mid \mathfrak{M}, w \models \varphi\}$ .

Let  $N : W \rightarrow \wp \wp W$  be a neighborhood function and define  $m_N : \wp W \rightarrow \wp W$ :

$$\text{for } X \subseteq W, m_N(X) = \{w \mid X \in N(w)\}$$

1.  $\llbracket p \rrbracket_{\mathfrak{M}} = V(p)$  for  $p \in \text{At}$
2.  $\llbracket \neg \varphi \rrbracket_{\mathfrak{M}} = W - \llbracket \varphi \rrbracket_{\mathfrak{M}}$
3.  $\llbracket \varphi \wedge \psi \rrbracket_{\mathfrak{M}} = \llbracket \varphi \rrbracket_{\mathfrak{M}} \cap \llbracket \psi \rrbracket_{\mathfrak{M}}$
4.  $\llbracket \Box \varphi \rrbracket_{\mathfrak{M}} = m_N(\llbracket \varphi \rrbracket_{\mathfrak{M}})$
5.  $\llbracket \Diamond \varphi \rrbracket_{\mathfrak{M}} = W - m_N(W - \llbracket \varphi \rrbracket_{\mathfrak{M}})$

## Detailed Example

Suppose  $W = \{w, s, v\}$  is the set of states and define a neighborhood model  $\mathfrak{M} = \langle W, N, V \rangle$  as follows:

- ▶  $N(w) = \{\{s\}, \{v\}, \{w, v\}\}$
- ▶  $N(s) = \{\{w, v\}, \{w\}, \{w, s\}\}$
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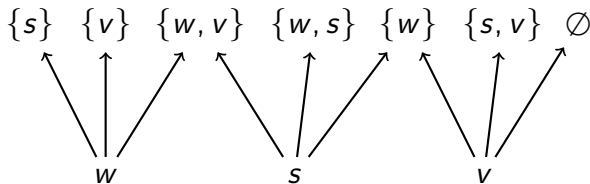
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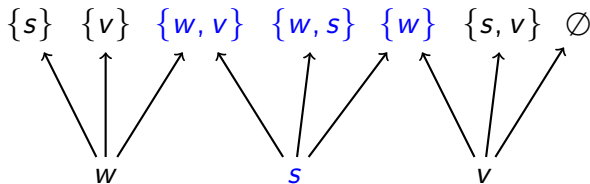


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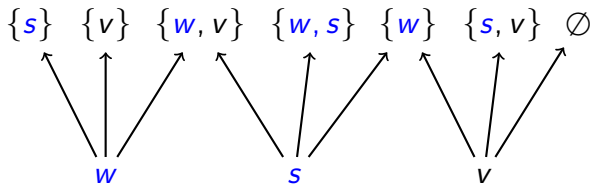


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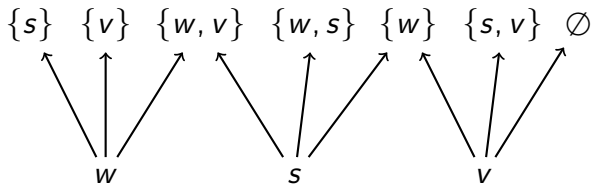
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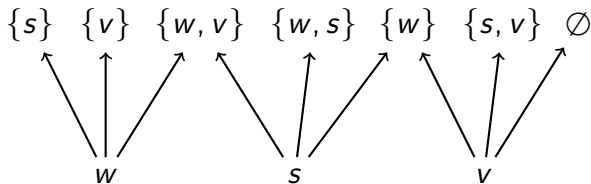
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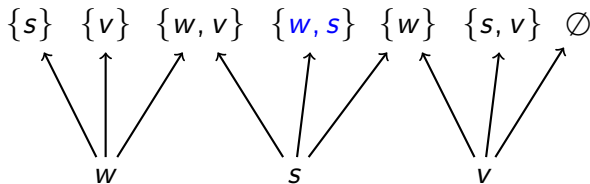
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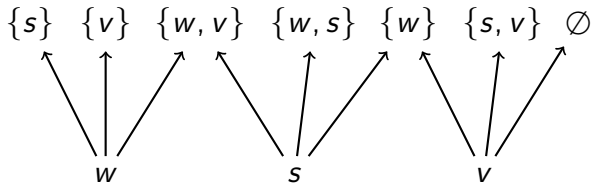
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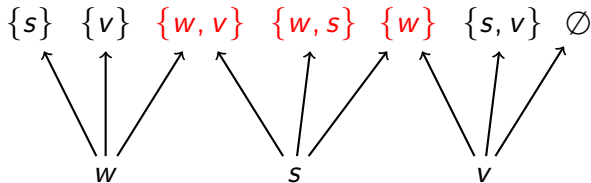
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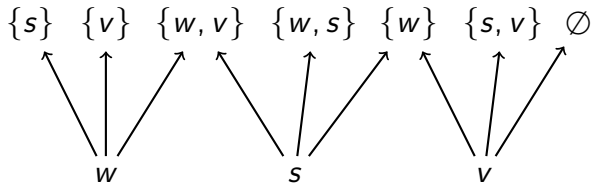


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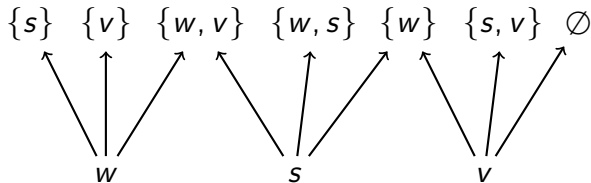
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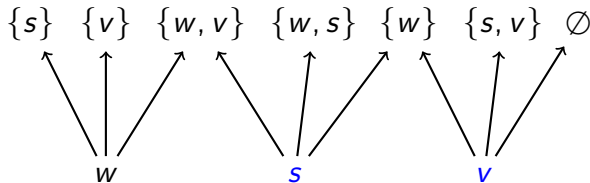
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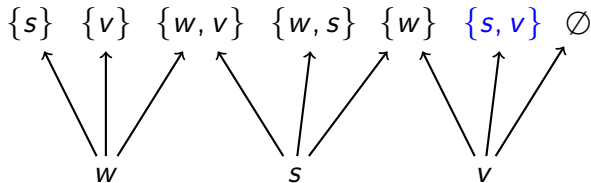
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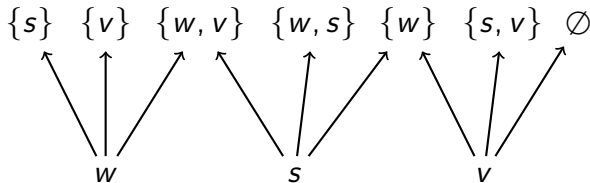
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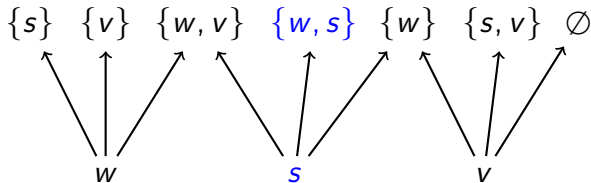
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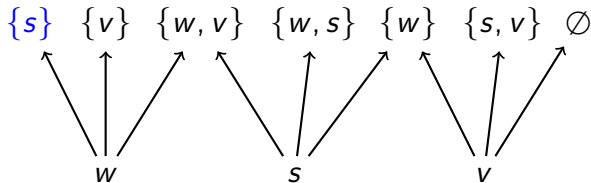
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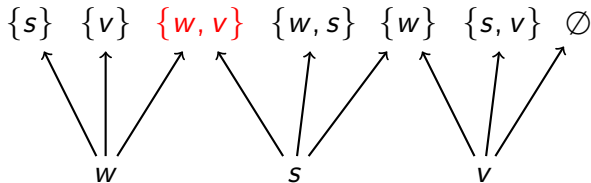
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# Defining beliefs from evidence

J. van Benthem and EP. *Dynamic logics of evidence-based beliefs*. Studia Logica, 99(61), 2011.

J. van Benthem, D. Fernández-Duque and EP. *Evidence and plausibility in neighborhood structures*. Annals of Pure and Applied Logic, 165, pp. 106-133.

# Evidence Models: Basic Assumptions

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2. The evidence gathered from different sources (or even the same source) may be jointly inconsistent. And so, the intersection of all the gathered evidence may be empty.
3. Despite the fact that sources may not be reliable or jointly inconsistent, they are all the agent has for forming beliefs.

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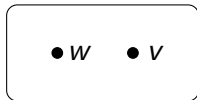
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In addition, much of the literature would suggest a 'monotonicity' assumption:

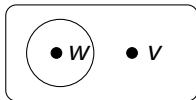
*If the agent has evidence  $X$  and  $X \subseteq Y$  then the agent has evidence  $Y$ .*

Example:  $W = \{w, v\}$  where  $p$  is true at  $w$

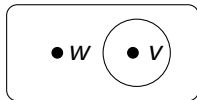
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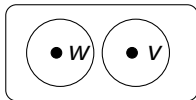
There is no evidence  
for or against  $p$ .



There is evidence  
that supports  $p$ .



There is evidence  
that rejects  $p$ .



There is evidence that  
supports  $p$  and also evidence  
that rejects  $p$ .



# Evidence Model

**Evidence model:**  $\mathcal{M} = \langle W, E, V \rangle$

- ▶  $W$  is a non-empty set of worlds,
- ▶  $V : \text{At} \rightarrow \wp(W)$  is a valuation function, and
- ▶  $E \subseteq W \times \wp(W)$  is an evidence relation

$E(w) = \{X \mid w E X\}$  and  $X \in E(w)$ : “the agent accepts  $X$  as evidence at state  $w$ ”.

**Uniform evidence model** ( $E$  is a constant function):  $\langle W, \mathcal{E}, V \rangle, w$  where  $\mathcal{E}$  is the fixed family of subsets of  $W$  related to each state by  $E$ .

# Assumptions

(Cons) For each state  $w$ ,  $\emptyset \notin E(w)$ .

(Triv) For each state  $w$ ,  $W \in E(w)$ .

# The Basic Language $\mathcal{L}$ of Evidence and Belief

$$p \mid \neg\varphi \mid \varphi \wedge \psi \mid \Box\varphi \mid B\varphi \mid A\varphi$$

- ▶  $\Box\varphi$ : “the agent has evidence that  $\varphi$  is true” (i.e., “the agent has evidence for  $\varphi$ ”)
- ▶  $B\varphi$  says that “the agents believes that  $\varphi$  is true” (based on her evidence)
- ▶  $A\varphi$ : “ $\varphi$  is true in all states” (for technical convenience/knowledge)

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# Example

$b, r \bullet$

$\bullet b, \neg r$

$\neg b, r \bullet$

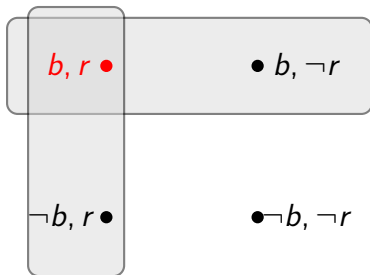
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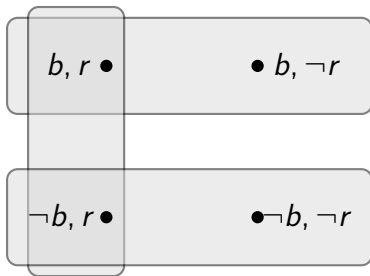
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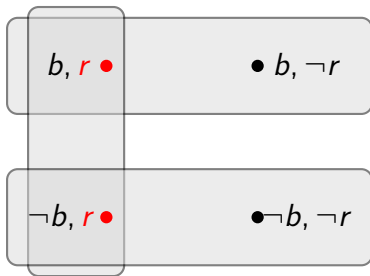
- ▶ Receive evidence that the animal is a bird
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# Defining Beliefs

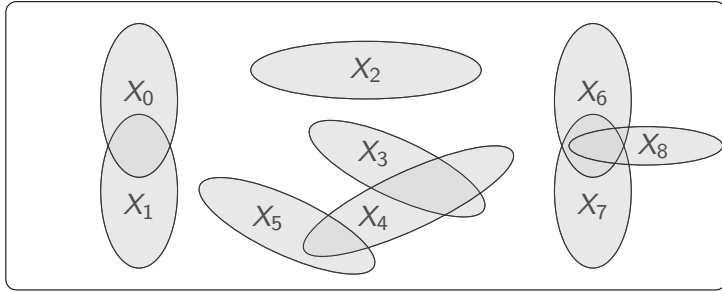
**$w$ -scenario**: A maximal family of evidence sets  $\mathcal{X} \subseteq E(w)$  that has the **finite intersection property** (f.i.p.: for each finite subfamily  $\{X_1, \dots, X_n\} \subseteq \mathcal{X}$ ,  $\bigcap_{1 \leq i \leq n} X_i \neq \emptyset$ ).

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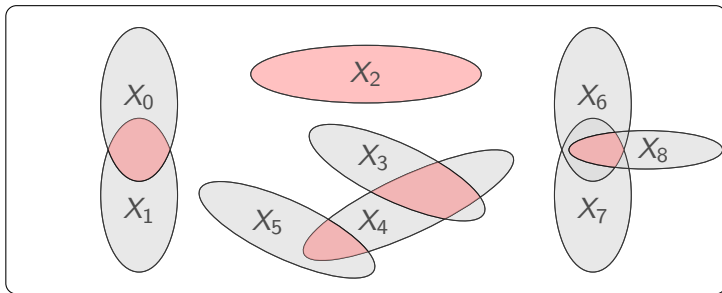
An agent believes  $\varphi$  at  $w$  if each  $w$ -scenario implies that  $\varphi$  is true (i.e.,  $\varphi$  is true at each point in the intersection of each  $w$ -scenario).

# Defining Beliefs





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*Our definition of belief is very conservative, many other definitions are possible (there exists a  $w$ -scenario, “most” of the  $w$ -scenarios,...)*

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- ▶  $\mathcal{M}, w \models A\varphi$  iff for all  $v \in W$ ,  $\mathcal{M}, v \models \varphi$

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- ▶  $\mathcal{M}, w \models A\varphi$  iff for all  $v \in W$ ,  $\mathcal{M}, v \models \varphi$
- ▶  $\mathcal{M}, w \models B\varphi$  for each maximal f.i.p.  $\mathcal{X} \subseteq E(w)$  and for all  $v \in \bigcap \mathcal{X}$ ,  $\mathcal{M}, v \models \varphi$

**Notation for the truth set:**  $\llbracket \varphi \rrbracket_{\mathcal{M}} = \{w \mid \mathcal{M}, w \models \varphi\}$

# Flat Evidence Models

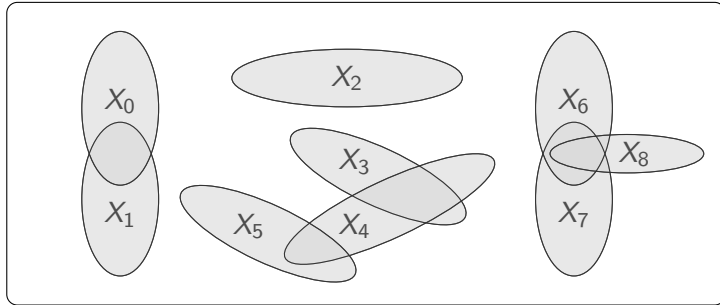
An evidence model  $\mathcal{M}$  is **flat** if every scenario on  $\mathcal{M}$  has non-empty intersection.

**Proposition.** The formula  $\Box\varphi \rightarrow \langle B \rangle\varphi$  is valid on the class of flat evidence models, but not on the class of all evidence models.

# Exercises

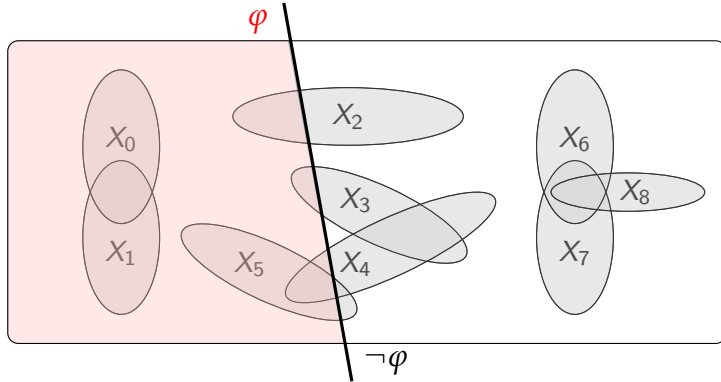
1. Prove that  $(\Box\varphi \wedge A\psi) \leftrightarrow \Box(\varphi \wedge A\psi)$  is valid on all evidence models.
2. Prove that  $B\varphi \rightarrow AB\varphi$  is valid on all uniform evidence models.

# Conditional Beliefs on Evidence Models

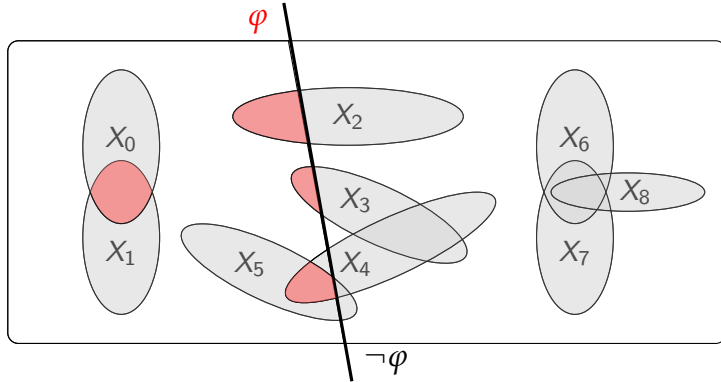




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$B^\varphi\psi$ : “the agent believes  $\psi$  conditional on  $\varphi$ .”

Main idea: Ignore the evidence that is inconsistent with  $\varphi$ .

**Relativized  $w$ -scenario**: Suppose that  $X \subseteq W$ . Given a collection  $\mathcal{X} \subseteq \wp(W)$ , let  $\mathcal{X}^X = \{Y \cap X \mid Y \in \mathcal{X}\}$ . We say that a collection  $\mathcal{X}$  of subsets of  $W$  has the **finite intersection property relative to  $X$**  ( $X$ -f.i.p.) if,  $\mathcal{X}^X$  has the f.i.p. and is maximal if  $\mathcal{X}^X$  is.

- $\mathcal{M}, w \models B^\varphi\psi$  iff for each maximal  $\varphi$ -f.i.p.  $\mathcal{X} \subseteq E(w)$ , for each  $v \in \bigcap \mathcal{X}^\varphi$ ,  $\mathcal{M}, v \models \psi$

## Conditional Beliefs: Example

$B\psi \rightarrow B^\varphi\psi$  is not valid.

## Conditional Beliefs: Example

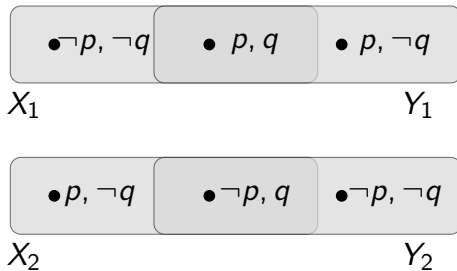
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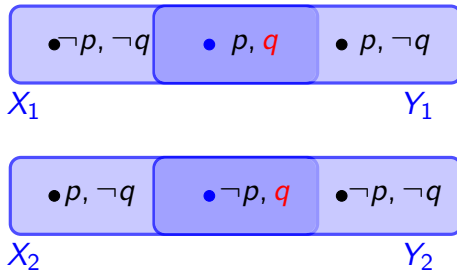
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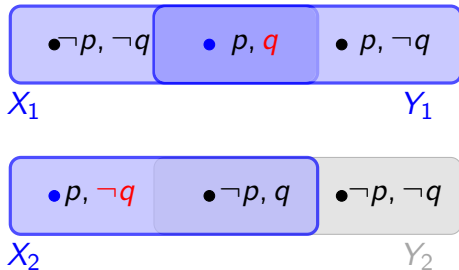


►  $\mathcal{M}, w \models Bq$

# Conditional Beliefs: Example

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✓  $\mathcal{M}, w \models Bq$

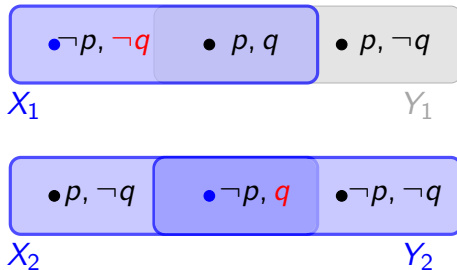
►  $\mathcal{M}, w \not\models B^p q$



# Conditional Beliefs: Example

$B\psi \rightarrow B^p\psi$  is not valid.

Is  $B\psi \rightarrow B^p\psi \vee B^{\neg p}\psi$  valid? **No**



- ✓  $\mathcal{M}, w \models Bq$
- ✓  $\mathcal{M}, w \not\models B^p q$
- $\mathcal{M}, w \not\models B^{\neg p} q$