

# Modal Logic: Logics of Knowledge and Belief

Eric Pacuit, University of Maryland

November 15, 2023

# Doxastic Logic: Models

Model:  $\langle W, R, V \rangle$

States/possible worlds:  $W \neq \emptyset$

Quasi-partitions:  $R \subseteq W \times W$  is serial, transitive and Euclidean

# Doxastic Logic: Models

Model:  $\langle W, R, V \rangle$

States/possible worlds:  $W \neq \emptyset$

Quasi-partitions:  $R \subseteq W \times W$  is serial, transitive and Euclidean

- ▶ *serial*: for all  $w \in W$ , there is a  $v \in W$  such that  $w R v$
- ▶ *transitive*: for all  $w, v, u \in W$ , if  $w R v$  and  $v R u$ , then  $w R u$
- ▶ *Euclidean*: for all  $w, v, u \in W$ , if  $w R v$  and  $w R u$ , then  $v R u$

# Doxastic Logic: Models

Model:  $\langle W, R, V \rangle$

States/possible worlds:  $W \neq \emptyset$

Quasi-partitions:  $R \subseteq W \times W$  is serial, transitive and Euclidean

- ▶ *serial*: for all  $w \in W$ , there is a  $v \in W$  such that  $w R v$
- ▶ *transitive*: for all  $w, v, u \in W$ , if  $w R v$  and  $v R u$ , then  $w R u$
- ▶ *Euclidean*: for all  $w, v, u \in W$ , if  $w R v$  and  $w R u$ , then  $v R u$

Valuation function:  $V : \text{At} \rightarrow \wp(W)$ , where  $\text{At}$  is a set of atomic propositions.

# Doxastic Logic: Language and Semantics

$$p \mid \varphi \wedge \varphi \mid \neg \varphi \mid B\varphi$$

# Doxastic Logic: Language and Semantics

$$p \mid \varphi \wedge \varphi \mid \neg\varphi \mid B\varphi$$

Boolean connectives:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$
- ▶  $\mathcal{M}, w \models \neg\varphi$  iff it is not the case that  $\mathcal{M}, w \models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  iff  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$

# Doxastic Logic: Language and Semantics

$$p \mid \varphi \wedge \psi \mid \neg\varphi \mid B\varphi$$

Boolean connectives:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$
- ▶  $\mathcal{M}, w \models \neg\varphi$  iff it is not the case that  $\mathcal{M}, w \models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  iff  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$

Belief operators:  $\mathcal{M}, w \models B\varphi$  iff for all  $v$ , if  $w R v$ , then  $\mathcal{M}, v \models \varphi$ .

# Doxastic Logic: Language and Semantics

$$p \mid \varphi \wedge \psi \mid \neg \varphi \mid B\varphi$$

Boolean connectives:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$
- ▶  $\mathcal{M}, w \models \neg \varphi$  iff it is not the case that  $\mathcal{M}, w \models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  iff  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$

Belief operators:  $\mathcal{M}, w \models B\varphi$  iff for all  $v$ , if  $w R v$ , then  $\mathcal{M}, v \models \varphi$ .

$$\mathcal{M}, w \models B\varphi \text{ iff } R(w) \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}$$



# Doxastic Logic: Language and Semantics

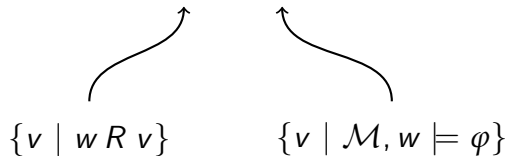
$$p \mid \varphi \wedge \varphi \mid \neg \varphi \mid B\varphi$$

Boolean connectives:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$
- ▶  $\mathcal{M}, w \models \neg \varphi$  iff it is not the case that  $\mathcal{M}, w \models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  iff  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$

Belief operators:  $\mathcal{M}, w \models B\varphi$  iff for all  $v$ , if  $w R v$ , then  $\mathcal{M}, v \models \varphi$ .

$$\mathcal{M}, w \models B\varphi \text{ iff } R(w) \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}$$



## Doxastic Logic: **KD45**

$$K \quad B(\varphi \rightarrow \psi) \rightarrow (B\varphi \rightarrow B\psi)$$

$$D \quad B\varphi \rightarrow \neg B\neg\varphi$$

$$4 \quad B\varphi \rightarrow BB\varphi$$

$$5 \quad \neg B\varphi \rightarrow B\neg B\varphi$$

## Doxastic Logic: **KD45**

$$K \quad B(\varphi \rightarrow \psi) \rightarrow (B\varphi \rightarrow B\psi)$$

$$D \quad B\varphi \rightarrow \neg B\neg\varphi$$

$$4 \quad B\varphi \rightarrow BB\varphi$$

$$5 \quad \neg B\varphi \rightarrow B\neg B\varphi$$

The logic **KD45** adds the above axiom schemes to an axiomatization of classical propositional logic with the rules Modus Ponens, Substitution of Equivalents, and Necessitation (from  $\varphi$  infer  $B\varphi$ ).

**KD45** is sound and strongly complete with respect to all quasi-partition frames.

**Exercise:** Show that the following axiom schemes and rules are valid on quasi-partition models and are theorems of **KD45**:

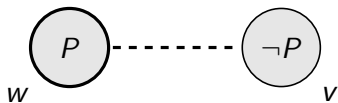
- ▶ agglomeration:  $(B\varphi \wedge B\psi) \rightarrow B(\varphi \wedge \psi)$
- ▶ consistency:  $\neg B\perp$
- ▶ monotonicity: From  $\varphi \rightarrow \psi$  infer  $B\varphi \rightarrow B\psi$

**Exercise:** Show that the following axiom schemes and rules are valid on quasi-partition models and are theorems of **KD45**:

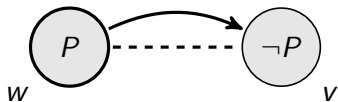
- ▶ agglomeration:  $(B\varphi \wedge B\psi) \rightarrow B(\varphi \wedge \psi)$
- ▶ consistency:  $\neg B\perp$
- ▶ monotonicity: From  $\varphi \rightarrow \psi$  infer  $B\varphi \rightarrow B\psi$
- ▶ secondary-reflexivity: for all  $w, v \in W$ , if  $w R v$  then  $v R v$   
 $B(B\varphi \rightarrow \varphi)$

**Exercise:** Show that the following axiom schemes and rules are valid on quasi-partition models and are theorems of **KD45**:

- ▶ agglomeration:  $(B\varphi \wedge B\psi) \rightarrow B(\varphi \wedge \psi)$
- ▶ consistency:  $\neg B\perp$
- ▶ monotonicity: From  $\varphi \rightarrow \psi$  infer  $B\varphi \rightarrow B\psi$
- ▶ secondary-reflexivity: for all  $w, v \in W$ , if  $w R v$  then  $v R v$   
 $B(B\varphi \rightarrow \varphi)$
- ▶ correctness of own beliefs:  
 $B\neg B\varphi \rightarrow \neg B\varphi$   
for all  $w$ , there is a  $v$  such that  $w R v$  and for all  $z$  if  $v R z$  then  $w R z$   
 $BB\varphi \rightarrow B\varphi$   
density: for all  $w$  and  $v$  if  $w R v$  then there is a  $z$  such that  $w R z$  and  $z R v$

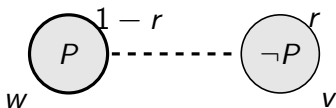


Ann does not know that  $P$



Ann does not **know** that  $P$ , but she **believes** that  $\neg P$





Ann does not **know** that  $P$ , but she **believes** that  $\neg P$  is true to degree  $r$ .

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

What is the relationship between knowledge ( $K$ ) and believe ( $B$ )?

- ▶  $K$  is **S5**

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

What is the relationship between knowledge ( $K$ ) and believe ( $B$ )?

- ▶  $K$  is **S5**
- ▶  $B$  is **KD45**

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

What is the relationship between knowledge ( $K$ ) and believe ( $B$ )?

- ▶  $K$  is **S5**
- ▶  $B$  is **KD45**
- ▶  $K\phi \rightarrow B\phi$ ? “knowledge implies belief”

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

What is the relationship between knowledge ( $K$ ) and believe ( $B$ )?

- ▶  $K$  is **S5**
- ▶  $B$  is **KD45**
- ▶  $K\phi \rightarrow B\phi$ ? “knowledge implies belief”
- ▶  $B\phi \rightarrow BK\phi$ ? “positive certainty”

# Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \sim, R, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
- ▶ each  $\sim$  is an equivalence relation on  $W$ ;
- ▶ each  $R$  is a serial, transitive, Euclidean relation on  $W$ ; and
- ▶  $V$  is a valuation function.

What is the relationship between knowledge ( $K$ ) and believe ( $B$ )?

- ▶  $K$  is **S5**
- ▶  $B$  is **KD45**
- ▶  $K\phi \rightarrow B\phi$ ? “knowledge implies belief”
- ▶  $B\phi \rightarrow BK\phi$ ? “positive certainty”
- ▶  $B\phi \rightarrow KB\phi$ ? “strong introspection”

## An Issue - Negative Introspection and Positive Certainty

- Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$



## An Issue - Negative Introspection and Positive Certainty

- ▶ Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$
- ▶  $Bp \rightarrow BKp$

# An Issue - Negative Introspection and Positive Certainty

- ▶ Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$
- ▶  $Bp \rightarrow BKp$
- ▶  $\neg p \rightarrow \neg Kp$

## An Issue - Negative Introspection and Positive Certainty

- ▶ Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$
- ▶  $Bp \rightarrow BKp$
- ▶  $\neg p \rightarrow \neg Kp \rightarrow K\neg Kp$

## An Issue - Negative Introspection and Positive Certainty

- ▶ Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$
- ▶  $Bp \rightarrow BKp$
- ▶  $\neg p \rightarrow \neg Kp \rightarrow K\neg Kp \rightarrow B\neg Kp$

## An Issue - Negative Introspection and Positive Certainty

- ▶ Suppose that  $p$  is something you are certain of (you *believe* it with probability one), but is false:  $\neg p \wedge Bp$
- ▶  $Bp \rightarrow BKp$
- ▶  $\neg p \rightarrow \neg Kp \rightarrow K\neg Kp \rightarrow B\neg Kp$
- ▶ So,  $BKp \wedge B\neg Kp$  also holds, but this contradicts  $B\varphi \rightarrow \neg B\neg\varphi$ .

# Defining Beliefs from Knowledge

R. Stalnaker (2006). *On logics of knowledge and belief*. Philosophy Studies, 128, 169-199.

A. Baltag, N. Bezhanishvili, A. Özgün, and S. Smets (2019). *A Topological Approach to Full Belief*. Journal of Philosophical Logic, 48(2), pp. 205 - 244.

A. Bjorndahl and A. Özgün (2020). *Logic and Topology for Knowledge, Knowability, and Belief*. The Review of Symbolic Logic, 13(4), pp. 748-775.

# Stalnaker's Axioms

Stalnaker bases his analysis on a conception of belief as 'subjective certainty':  
From the point of the agent in question, her belief is subjectively indistinguishable from her knowledge.

# Stalnaker's Axioms

Stalnaker bases his analysis on a conception of belief as 'subjective certainty':  
From the point of the agent in question, her belief is subjectively indistinguishable from her knowledge.

Bi-modal language of knowledge and belief:  $p \mid \neg\varphi \mid \varphi \wedge \psi \mid K\varphi \mid B\psi$   
Define  $\langle K \rangle\varphi$  as  $\neg K\neg\varphi$  and  $\langle B \rangle\varphi$  as  $\neg B\neg\varphi$



# Stalnaker's Axioms

$$K \quad K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$$

$$T \quad K\varphi \rightarrow \varphi$$

$$4 \quad K\varphi \rightarrow KK\varphi$$

$$CB \quad B\varphi \rightarrow \neg B\neg\varphi$$

# Stalnaker's Axioms

$$K \quad K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$$

$$T \quad K\varphi \rightarrow \varphi$$

$$4 \quad K\varphi \rightarrow KK\varphi$$

$$CB \quad B\varphi \rightarrow \neg B\neg\varphi$$

$$PI \quad B\varphi \rightarrow KB\varphi$$

$$NI \quad \neg B\varphi \rightarrow K\neg B\varphi$$

# Stalnaker's Axioms

$$K \quad K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$$

$$T \quad K\varphi \rightarrow \varphi$$

$$4 \quad K\varphi \rightarrow KK\varphi$$

$$CB \quad B\varphi \rightarrow \neg B\neg\varphi$$

$$PI \quad B\varphi \rightarrow KB\varphi$$

$$NI \quad \neg B\varphi \rightarrow K\neg B\varphi$$

$$KB \quad K\varphi \rightarrow B\varphi$$

$$FB \quad B\varphi \rightarrow BK\varphi$$

**Proposition (Stalnaker).** The following equivalence is a theorem of the propositional modal logic that contains the previous axiom schemas (with Modus Ponens and Necessitation for both  $K$  and  $B$ ):

$$B\varphi \leftrightarrow \langle K \rangle K\varphi$$

**Proposition (Stalnaker).** The following equivalence is a theorem of the propositional modal logic that contains the previous axiom schemas (with Modus Ponens and Necessitation for both  $K$  and  $B$ ):

$$B\varphi \leftrightarrow \langle K \rangle K\varphi$$

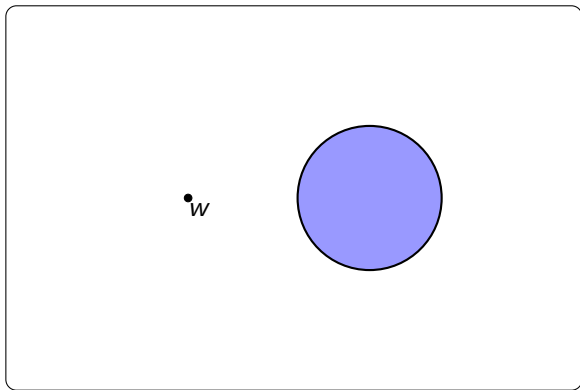
Moreover, all of the axioms of **KD45** and the (.2)-axiom  $\langle K \rangle K\varphi \rightarrow K\langle K \rangle \varphi$  are provable.

**Proposition (Stalnaker).** The following equivalence is a theorem of the propositional modal logic that contains the previous axiom schemas (with Modus Ponens and Necessitation for both  $K$  and  $B$ ):

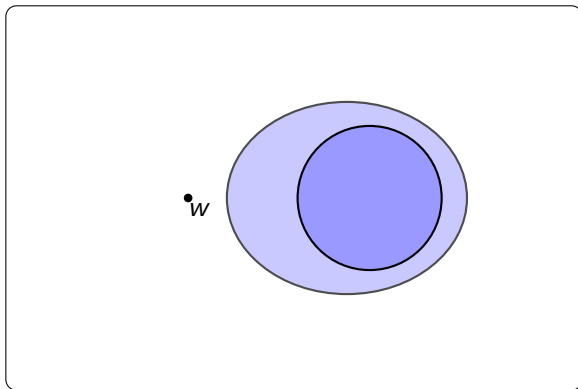
$$B\varphi \leftrightarrow \langle K \rangle K\varphi$$

Moreover, all of the axioms of **KD45** and the (.2)-axiom  $\langle K \rangle K\varphi \rightarrow K\langle K \rangle \varphi$  are provable.

This means that we can take the logic of knowledge to be **S4.2** (the axioms  $K$ ,  $T$ , 4 and .2) and *define* full belief as above (i.e., as the ‘epistemic possibility of knowledge’).

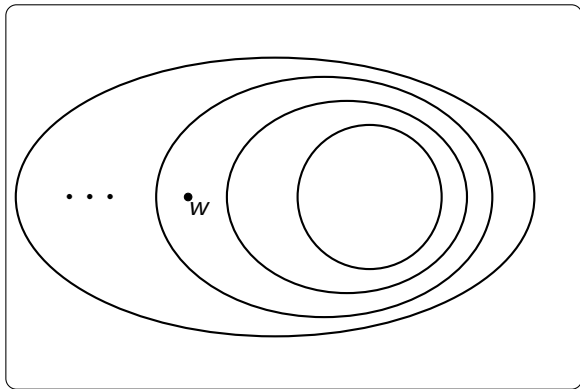


- The agent's **beliefs** (soft information—the states consistent with what the agent believes)



- ▶ The agent's beliefs (soft information—the states consistent with what the agent believes)
- ▶ The agent's "contingency plan"





- ▶ The agent's beliefs (soft information—the states consistent with what the agent believes)
- ▶ The agent's "contingency plan"

# Sphere Models

Let  $W$  be a set of states, A set  $\mathcal{F} \subseteq \wp(W)$  is called a **system of spheres** provided:

- ▶ For each  $S, S' \in \mathcal{F}$ , either  $S \subseteq S'$  or  $S' \subseteq S$
- ▶ For any  $P \subseteq W$  there is a smallest  $S \in \mathcal{F}$  (according to the subset relation) such that  $P \cap S \neq \emptyset$
- ▶ The spheres are non-empty  $\bigcap \mathcal{F} \neq \emptyset$  and cover the entire information cell  $\bigcup \mathcal{F} = W$

Let  $\mathcal{F}$  be a system of spheres on  $W$ : for  $w, v \in W$ , let

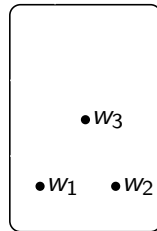
$$w \preceq_{\mathcal{F}} v \text{ iff for all } S \in \mathcal{F}, \text{ if } v \in S \text{ then } w \in S$$

Then,  $\preceq_{\mathcal{F}}$  is reflexive, transitive, and well-founded.

$w \preceq_{\mathcal{F}} v$  means that no matter what the agent learns in the future, as long as world  $v$  is still consistent with her beliefs and  $w$  is still epistemically possible, then  $w$  is also consistent with her beliefs.

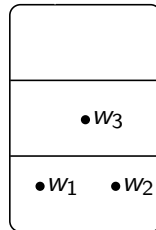
# Belief Revision via Plausibility

►  $W = \{w_1, w_2, w_3\}$



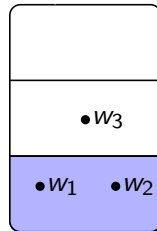
# Belief Revision via Plausibility

- ▶  $W = \{w_1, w_2, w_3\}$
- ▶  $w_1 \preceq w_2$  and  $w_2 \preceq w_1$  ( $w_1$  and  $w_2$  are equi-plausible)
- ▶  $w_1 \prec w_3$  ( $w_1 \preceq w_3$  and  $w_3 \not\preceq w_1$ )
- ▶  $w_2 \prec w_3$  ( $w_2 \preceq w_3$  and  $w_3 \not\preceq w_2$ )

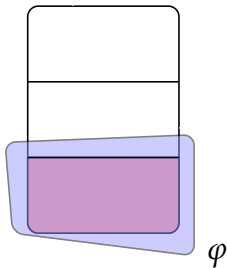


# Belief Revision via Plausibility

- ▶  $W = \{w_1, w_2, w_3\}$
- ▶  $w_1 \preceq w_2$  and  $w_2 \preceq w_1$  ( $w_1$  and  $w_2$  are equi-plausible)
- ▶  $w_1 \prec w_3$  ( $w_1 \preceq w_3$  and  $w_3 \not\preceq w_1$ )
- ▶  $w_2 \prec w_3$  ( $w_2 \preceq w_3$  and  $w_3 \not\preceq w_2$ )
- ▶  $\{w_1, w_2\} \subseteq \text{Min}_{\preceq}([w_i])$



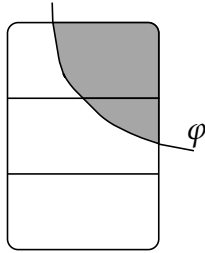
# Belief Revision via Plausibility



**Belief:**  $B\varphi$

$$\text{Min}_{\preceq}(W) \subseteq \llbracket \varphi \rrbracket_{\mathcal{M}}$$

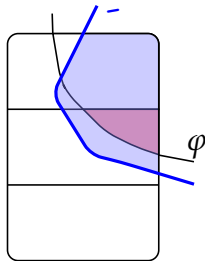
# Belief Revision via Plausibility



**Conditional Belief:**  $B^\varphi\psi$



# Belief Revision via Plausibility



**Conditional Belief:**  $B^\varphi\psi$

$$\text{Min}_{\preceq}(\llbracket \varphi \rrbracket_{\mathcal{M}}) \subseteq \llbracket \psi \rrbracket_{\mathcal{M}}$$